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PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

10/663,568

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Application Number

TRANSMITTAL	Filing Date	Septemb	er 15, 2003		
FORM		First Named Inventor	Steven Z	. Wu	
(to be used for all correspondence after in	itial filing)	Group Art Unit	Unassign	ed	
		Examiner Name	Unassign	ed	
Total Number of Pages in This Submission (excluding references)	13	Attorney Docket Number	50623.33	5	
	ENCLO	OSURES (check all that apply)			
Deposit Account 07-1850 Authorization		ment Papers Application)	After A	Illowance Communication to	
Postage Paid Return Postcard		g(s) In/Formal Sheets with ssion of Drawings Transmittal		I Communication to Board of Is and Interferences	
Amendment / Response	Issue F (in dup	ee Transmittal with PTO-85b licate)		l Communication to Group I Notice, Brief, Reply Brief)	
Amendment Transmittal Letter (in duplicate)		st for Continued Examination ittal (RCE)	Proprie	etary Information	
Affidavits/declaration(s)	Fee Tra	ansmittal Form (in duplicate)	Reque	st for Status of Application	
Petition for Extension of Time ( months) (in duplicate)	Power of Attorney, Revocation Change of Correspondence Address  Other Enclosure(s) (please identify below):				
Information Disclosure Statement (in duplicate) with Form PTO-1449 listing 205 References	☐ Terminal Disclaimer ☐ Request for Refund				
Express Mail Label No.	CD, Number of CD(s)				
Certified Copy of Priority Document(s)	Rema	rks			
Response to Missing Parts/ Incomplete Application		·			
Response to Missing Parts under 37 CFR 1.52 or 1.53					
SIGNAT	TURE OF A	APPLICANT, ATTORNEY, O	R AGENT		
Firm  or  Individual name  Squire Sanders & I  Paul J. Meyer Jr., F					
Signature					
Date October 1, 2003					
	CEI	RTIFICATE OF MAILING			
I hereby certify that this correspondence is addressed to: Commissioner for Patents, F				/	
Typed or printed name Judi Stillwell					
Signature Hul	ewell		Date	October <u>/</u> , 2003	

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Attorney Docket No. 50623.335

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Examiner:

Unassigned

Steven Z. Wu et al.

Serial No.

10/663,568

Art Unit:

Unassigned

Filed:

September 15, 2003

Title:

Microparticle Coated Medical Device

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97-1.98

#### Dear Examiner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §\$1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. According to a Notice signed July 11, 2003, the U.S. Patent and Trademark Office has waived the requirement under 37 C.F.R. § 1.98(a)(2)(i) for all patent applications filed after June 30, 2003. Since this patent application was filed after June 30, 2003, Applicants have not provided copies of the cited U.S. patents. Copies of the cited foreign patent documents and non-patent literature have been submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

10663568 - GAU: 1615

Attorney Docket No. 50623.335

The present Information Disclosure Statement is being filed before receiving the first Office Action. Therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required. However, the Commissioner is authorized to charge any deficiencies or other amounts due to Deposit Account No. 07-1850.

Date: October  $\frac{19}{1}$ , 2003

SQUIRE, SANDERS & DEMPSEY L.L.P. One Maritime Plaza, Suite 300 San Francisco, CA 94111 Telephone (415) 954-0200 Facsimile (415) 393-9887 Respectfully submitted,

Paul J. Meyer, Jr. Attorney for Applicants

Reg. No. 47,791

Attorney Docket No. 50623.335

#### N THE UNIFED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Examiner:

Unassigned

Steven Z. Wu et al.

Serial No.

10/663.568

Art Unit:

Unassigned

Filed:

September 15, 2003

Title:

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Paul J. Meyer, Jr. Attorney for Applicants Reg. No. 47.791



FORM PTO-1449 (Modified)

Approved for use through 10/31/2002

US DEPARTMENT OF COMMERCE

US Patent and Trademark Office

Docket No. 50623.335

Application No. 10/663,568

INFORMATION DISCLOSURE CITATION

in an Application

(Use several sheets if necessary)

Steven Z. Wu

Filing Date

Applicant

September 15, 2003

Group Art Unit
Unassigned

Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date in Appropriate
	A1	4,329,383	5/11/82	Joh	428	36	
	A2	4,733,665	3/29/88	Palmaz	128	343	
	А3	4,800,882	1/31/89	Gianturco	128	343	
	A4	4,882,168	11/21/89	Casey et al.	424	468	
	A5	4,886,062	12/12/89	Wiktor	128	343	
	A6	4,941,870	7/17/90	Okada et al.	600	36	
	A7	4,977,901	12/18/90	Ofstead	128	772	
	A8	5,112,457	5/12/92	Marchant	204	165	
	A9	5,165,919	11/24/92	Sasaki et al.	424	488	
	A10	5,272,012	12/21/93	Opolski	428	423.1	
	A11	5,292,516	3/8/94	Viegas et al.	424	423	
	A12	5,298,260	3/29/94	Viegas et al.	424	486	
	A13	5,300,295	4/5/94	Viegas et al.	424	427	
	A14	5,306,501	4/26/94	Viegas et al.	424	423	
	A15	5,328,471	7/12/94	Slepian	604	101	<u>.</u>
	A16	5,330,768	7/19/94	Park et al.	424	501	
	A17	5,380,299	1/10/95	Fearnot et al.	604	265	
	A18	5,417,981	5/23/95	Endo et al.	424	486	
	A19	5,447,724	9/5/95	Helmus et al.	424	426	
	A20	5,455,040	10/3/95	Marchant	424	426	
	A21	5,462,990	10/31/95	Hubbell et al.	525	54.1	
	A22	5,464,650	11/7/95	Berg et al.	427	2.30	
	A23	5,569,463	10/29/96	Helmus et al.	424	426	

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FORM PTO-1449 (Modified)

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Approved for use through 10/31/2002

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US Patent and Trademark Office

Docket No. 50623.335 Applicant Applicant Applicant

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(Use several sheets if necessary)

Steven Z. Wu

Filing Date
September 15, 2003

Group Art Unit
Unassigned

Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A24	5,578,073	11/26/96	Haimovich et al.	623	1	
	A25	5,605,696	2/25/97	Eury et al.	424	423	
	A26	5,609,629	3/11/97	Fearnot et al.	623	1	
	A27	5,624,411	4/29/97	Tuch	604	265	
	A28	5,628,730	5/13/97	Shapland et al.	604	21	
	A29	5,649,977	7/22/97	Campbell	623	1	
	A30	5,658,995	8/19/97	Kohn et al.	525	432	
	A31	5,665,382	9/9/97	Grinstaff et al.	424	450	
	A32	5,667,767	9/16/97	Greff et al.	424	9.411	
	A33	5,670,558	9/23/97	Onishi et al.	523	112	
	A34	5,679,400	10/21/97	Tuch	427	2.14	
	A35	5,700,286	12/23/97	Tartaglia et al.	623	1	
	A36	5,702,754	12/30/97	Zhong	427	2.12	
	A37	5;716,981	2/10/98	Hunter et al.	514	449	
	A38	5,735,897	4/7/98	Buirge	623	12	
	A39	5,746,998	5/5/98	Torchilin et al.	424	9.4	
	A40	5,776,184	7/7/98	Tuch	623	1	
	A41	5,788,979	8/4/98	Alt et al.	424	426	
	A42	5,800,392	9/1/98	Racchini	604	96	
	A43	5,820,917	10/13/98	Tuch	427	2.1	
	A44	5,824,048	10/20/98	Tuch	623	1	•
	A45	5,824,049	10/20/98	Ragheb et al.	623	1	
KAMINER	/Hum	nera Sheikh/		DATE CONSIDERED 11/	06/2008		



EXAMINER

/Humera Sheikh/

FORM PTO 1449 (Modified)

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## INFORMATION DISCLOSURE CITATION in an Application

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Docket No. 50623.335

Application No. 10/663,568

Applicant

Steven Z. Wu

Filing Date September 15, 2003 Group Art Unit
Unassigned

**U.S. PATENT DOCUMENTS** Ref. No. Date of Class Subclass Filing Date if Examiner Document Name Initial Number **Patent** Appropriate A46 11/3/98 604 49 5,830,178 Jones et al. 11/17/98 A47 5,837,008 Berg et al. 623 1 A48 5,837,313 11/17/98 Ding et al. 427 2.21 12/22/98 A49 5,851,508 Greff et al. 424 9.411 A50 5,858,746 1/12/99 Hubbell et al. 435 177 A51 5,865,814 2/2/99 Tuch 604 265 A52 2/9/99 427 2.12 5,869,127 Zhong A53 2/23/99 Ragheb et al. 1 5,873,904 623 A54 5,876,433 3/2/99 Lunn 623 1 A55 5,877,224 3/2/99 Brocchini et al. 514 772.2 A56 7/20/99 5,925,720 Kataoka et al. 525 523 9/21/99 A57 5,955,509 Webber et al. 514 772.7 A58 5,971,954 10/26/99 Conway et al. 604 96 A59 5,980,928 11/9/99 Terry 424 427 A60 5,980,972 11/9/99 Ding 427 2.24 A61 5,997,517 12/7/99 Whitbourne 604 265 A62 6,010,530 1/4/00 Goicoechea 623 1 A63 1/18/00 Greff et al. 6,015,541 424 1.25 A64 3/7/00 37 6,033,582 Lee et al. 216 3/28/00 A65 6,042,875 427 2.24 Ding et al. A66 6,051,648 4/18/00 Rhee et al. 525 54.1 A67 6,051,576 4/18/00 Ashton et al. 514 255 A68 6.056,993 5/2/00 427 2.25 Leidner et al.

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DATE CONSIDERED

11/06/2008

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FORM 1970-1449 (Modified) Approved for use through 10/31/2002

US DEPARTMENT OF COMMERCE

US Patent and Trademark Office

Docket No. Application No. 10/663,568
Applicant

#### INFORMATION DISCLOSURE CITATION in an Application

(Use several sheets if necessary)

Steven Z. Wu
Filing Date Group Art Unit

September 15, 2003

	U.S. PATENT DOCUMENTS								
Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate		
	A69	6,060,451	5/9/00	DiMaio et al.	514	13			
	A70	6,060,518	5/9/00	Kabanov et al.	514	781			
	A71	6,080,488	6/27/00	Hostettler et al.	428	423.3	t 1 <del></del>		
	A72	6,096,070	8/1/00	Ragheb et al.	623	1			
	A73	6,099,562	8/8/00	Ding et al.	623	1.46			
	A74	6,110,188	8/29/00	Narciso, Jr.	606	153			
	A75	6,110,483	8/29/00	Whitbourne et al.	424	423			
	A76	6,113,629	9/5/00	Ken	623	1.1			
	A77	6,120,536	9/19/00	Ding et al.	623	1.43			
	A78	6,120,904	9/19/00	Hostettler et al.	428	423.3			
	A79	6,121,027	9/19/00	Clapper et al.	435	180			
	A80	6,129,761	10/10/00	Hubbell	623	11			
	A81	6,153,252	11/28/00	Hossainy et al.	427	2.3			
	A82	6,165,212	12/26/00	Dereume et al.	623	1.13			
	A83	6,203,551	3/20/01	Wu	606	108			
	A84	6,231,600	5/15/01	Zhong	623	1.42			
	A85	6,240,616	6/5/01	Yan	29	527.2			
	A86	6,245,753	6/12/01	Byun et al.	514	56			
	A87	6,251,136	6/26/01	Guruwaiya et al.	623	1.46			
	A88	6,254,632	7/3/01	Wu et al.	623	1.15			
	A89	6,258,121	7/10/01	Yang et al.	623	1.46			
	A90	6,283,947	9/4/01	Mirzaee	604	264			
EXAMINER	/	Humera Sheikh/		DATE CONSIDERED 11/06/	2008				

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US DEPARTMENT OF COMMERCE

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Docket No. Application No. 10/663,568
Applicant

# INFORMATION DISCLOSURE CITATION in an Application

(Use several sheets if necessary)

Steven Z. Wu

Filing Date September 15, 2003 Group Art Unit
Unassigned

U.S. PATENT DOCUMENTS								
Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate	
	A91	6,283,949	9/4/01	Roorda	604	288.02		
	A92	6,284,305	9/4/01	Ding et al.	427	2.28		
	A93	6,287,628	9/11/01	Hossainy et al.	427	2.3		
	A94	6,299,604	10/9/01	Ragheb et al.	604	265		
	A95	6,306,176	10/23/01	Whitbourne	623	23.59		
	A96	6,331,313	12/18/01	Wong et al.	424	427		
	A97	6,335,029	1/1/02	Kamath et al.	424	423		
	A98	6,346,110	2/12/02	Wu	606	108		
	A99	6,358,556	3/19/02	Ding et al.	427	2.24		
	A100	6,379,381	4/30/02	Hossainy et al.	623	1.42		
	A101	6,395,326	5/28/02	Castro et al.	427	2.24		
	A102	6,419,692	7/16/02	Yang et al.	623	1.15		
	A103	6,451,373	9/17/02	Hossainy et al.	427	2.25	8/4/00	
	A104	6,494,862	12/17/02	Ray et al.	604	96.01	12/30/99	
,	A105	6,503,556	1/7/03	Harish et al.	427	2.24	12/28/00	
	A106	6,503,954	1/7/03	Bhat et al.	514	772.2	7/21/00	
	A107	6,506,437	1/14/03	Harish et al.	427	2.25	10/17/00	
	A108	6,527,801	3/4/03	Dutta	623	1.46	4/13/00	
	A109	6,527,863	3/4/03	Pacetti et al.	118	500	6/29/01	
	A110	6,540,776	4/1/03	Sanders Millare et al.	623	1.15	12/28/00	
XAMINER	/Hu	mera Sheikh/		DATE CONSIDERED 11/06	/2008			

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FORM PTO-1449 (Modified)

Approved for use through 10/31/2002

US DEPARTMENT OF COMMERCE

US Patent and Trademark Office

Docket No. 50623.335 Application No. 10/663,568

INFORMATION DISCLOSURE CITATION in an Application

(Use several sheets if necessary)

Applicant

Steven Z. Wu

Filing Date

Group Art Unit

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			U.S. PAT	ENT DOCUMENTS			,
Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date in Appropriate
	A111	6,544,223	4/8/03	Kokish	604	103.01	1/5/01
	A112	6,544,543	4/8/03	Mandrusov et al.	424	422	12/27/00
	A113	6,544,582	4/8/03	Yoe	427	2.24	1/5/01
	A114	6,555,157	4/29/03	Hossainy	427	2.24	7/25/00
	A115	6,558,733	5/6/03	Hossainy et al.	427	2.24	10/26/00
	A116	6,565,659	5/20/03	Pacetti et al.	118	500	6/28/01
	A117	6,572,644	6/3/03	Moein	623	1.11	6/27/01
	A118	6,585,765	7/1/03	Hossainy et al.	623	1.45	6/29/00
	A119	6,585,926	7/1/03	Mirzaee	264	400	8/31/00
•	A120	6,605,154	8/12/03	Villareal	118	500	5/31/01
		U.S. PATE	NT APPLICAT	TION PUBLICATION DOC	UMENTS		
Examiner Initial	Ref. No.	Document Number	Date of Publication	Name	Class	Subclass	Filing Date if Appropriate
	A121	2001/0018469	8/30/01	Chen et al.	523	121	
	A122	2001/0037145	11/1/01	Guruwaiya et al.	623	1.15	
	A123	2002/0077693	6/20/02	Barclay et al.	623	1.13	
	A124	2002/0091433	7/11/02	Ding et al.	623	1.2	
	A125	2002/0155212	10/24/02	Hossainy	427	2.25	4/24/01
	A126	2003/0065377	4/3/03	Davila et al.	623	1.13	4/30/02
	A127	2003/0099712	5/29/03	Jayaraman	424	486	11/26/01
AMINER		/Humera Sheikh/		DATE CONSIDERED 11/06/	2008		

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Docket No. Application No. 50623.335 10/663,568

Applicant Steven Z. Wu

Filing Date Group Art Unit
September 15, 2003 Unassigned

Examiner	Ref. No.	Document	Date of	Country	Class	Subclass	Trans	slation
Initial		Number	Publication	,			Yes	No
	В1	EP 0 301 856	2/1/89	European				
	B2	EP 0 514 406	11/25/92	European				
	В3	EP 0 604 022	6/29/94	European				
	B4	EP 0 623 354	11/9/94	European				
	B5	EP 0 665 023	8/2/95	European				
	B6	EP 0 701 802	3/20/96	European				
	B7	EP 0 716 836	6/19/96	European				
	B8	EP 0 809 999	12/3/97	European			y	
	В9	EP 0 832 655	4/1/98	European				
	B10	EP 0 850 651	7/1/98	European				
	B11	EP 0 879 595	11/25/98	European			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
_	B12	EP 0 910 584	4/28/99	European				
	B13	EP 0 923 953	6/23/99	European				
	B14	EP 0 953 320	11/3/99	European				
	B15	EP 0 970 711	1/12/00	European				
	B16	EP 0 982 041	3/1/00	European				
	B17	EP 1 273 314	1/8/03	European				
	B18	2001-190687	7/17/01	Japan (Abstract)			Х	
, , , , , , , , , , , , , , , , , , ,	B19	WO 91/12846	9/5/91	PCT				
<del></del>	B20	WO 95/10989	4/27/95	PCT				
	B21	WO 96/40174	12/19/96	PCT				
XAMINER	/-	lumera Sheikh/	<u> </u>	DATE CONSIDERED 11/06/20	008			

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	B22	WO 97/10011	3/20/97	PCT				
	B23	WO 97/45105	12/4/97	PCT				
	B24	WO 97/46590	12/11/97	PCT				
	B25	WO 98/17331	4/30/98	PCT				
	B26	WO 98/36784	8/27/98	PCT				
	B27	WO 99/01118	1/14/99	PCT				
	B28	WO 99/38546	8/5/99	PCT				
	B29	WO 99/63981	12/16/99	PCT				
	B30	WO 00/02599	1/20/00	PCT				
	B31	WO 00/12147	3/9/00	PCT				
	B32	WO 00/18446	4/6/00	PCT				
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US DEPARTMENT OF COMMERCE

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Docket No. Application No. 50623.335 10/663,568

# INFORMATION DISCLOSURE CITATION in an Application

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Applicant
Steven Z. Wu
Filing Date
Group Art Unit

		(Use several sheets if		September 15,	2003	Una	ssigned	<u> </u>	
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	RMATION DISCLOS		Applicant	<u> </u>			
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